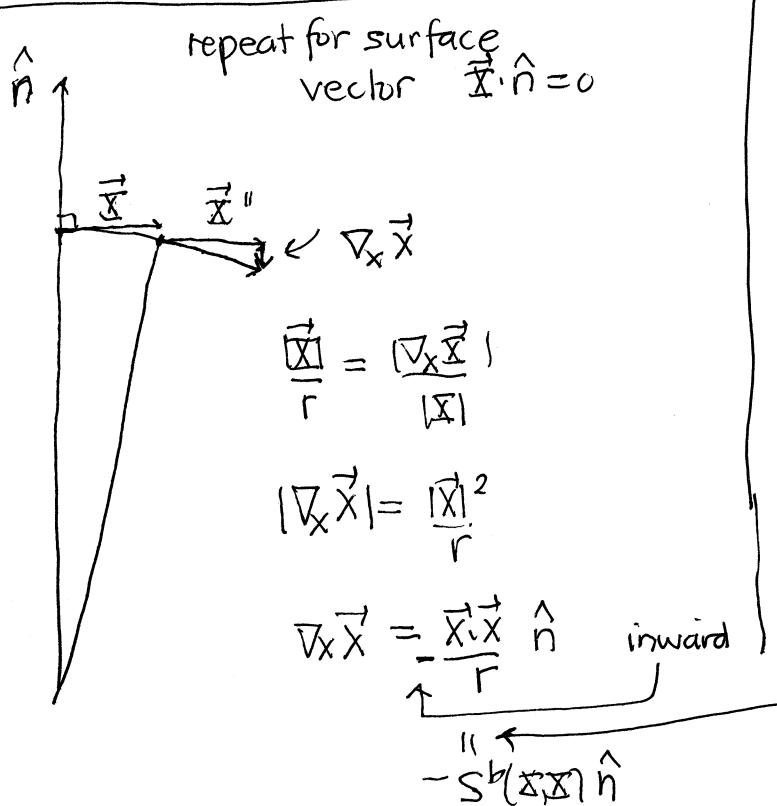
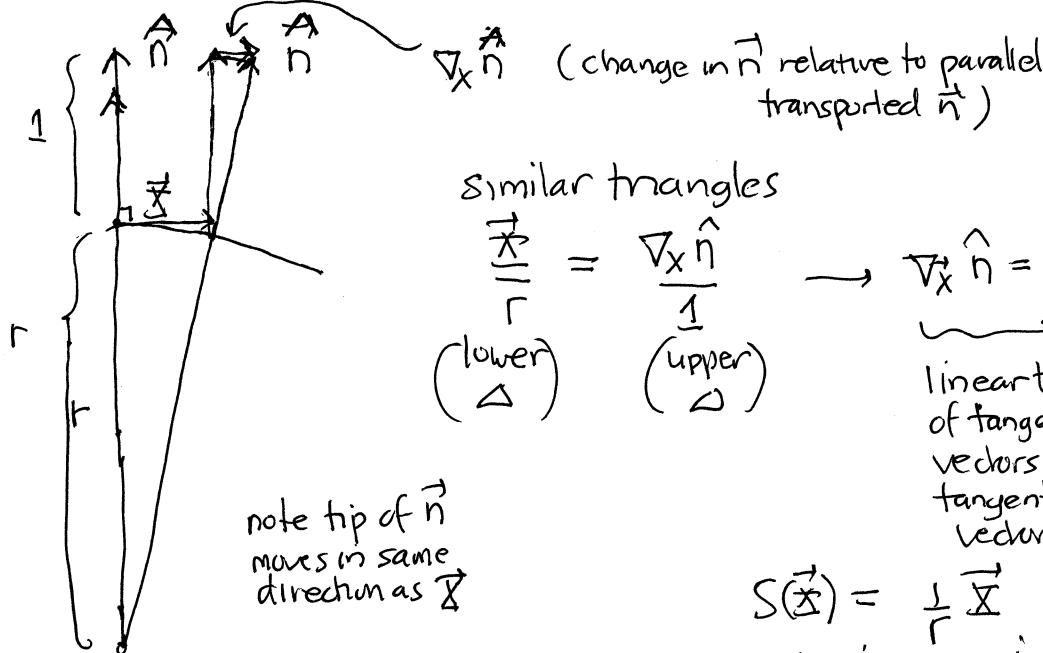


10.1

extrinsic curvaturetoy examplecircle:
outward unit
normal:

$$\hat{n}$$

surface
vector:
 $\hat{n} \cdot \vec{x} = 0$ 

describes
bending of surface
in two ways:

- bending of normal to stay orthogonal
- bending of surface vectors to stay tangent

symmetric $\begin{cases} (0,2) \text{ tensor } S_{ij} \\ (1,1) \text{ tensor } S^b_{ij} \end{cases}$

for surface vectors

$$\nabla_x \hat{n} = \frac{1}{r} \vec{x}$$

linear transf.
of tangential
vectors into
tangential
vectors

$$S(\vec{x}) = \frac{1}{r} \vec{x}$$

$$S^i_j x^j = \frac{1}{r} \vec{x}^i = \frac{1}{r} \delta^i_j x^j$$

shape tensor

$$S^i_j = -K^i_j$$

"shape tensor" "extrinsic curvature"

diff geom G.R.,
Jargon

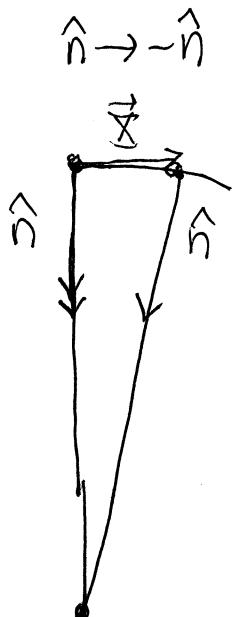
$$\vec{x} \cdot S(\vec{x}) = \frac{1}{r} \vec{x} \cdot \vec{x}$$

$$x_i S^i_j x^j = S_{ij} x^i x^j$$

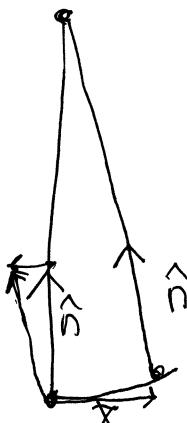
$$\equiv S^b(x, x)$$

[10.1]

2



is like



normal tip moves oppositely
to \vec{x} direction

$$\nabla_x \hat{n} = S(\vec{x})$$

$$-\hat{n} \quad -S(\vec{x})$$

overall sign of
 S not well
defined
depends on choice
of 2 unit normals

for a given choice
it tells you which
side of tangent line
curve is curving

osculating circle
center on same
side when
 $S(\vec{x}) = -\frac{1}{r} \vec{x}$

on opposite side
when
 $S(\vec{x}) = \frac{1}{r} \vec{x}$

(previous
page)

Remark: All curves are intrinsically flat!

$g = ds \otimes ds$ in arclength parametrization



$$s = r d\theta \rightarrow r^2 d\theta^2 = ds^2$$

our notion of curvature from the circle is BENDING in larger
space = extrinsic curvature

circle = prototype

Intrinsic curvature only starts in 2-dimensions —

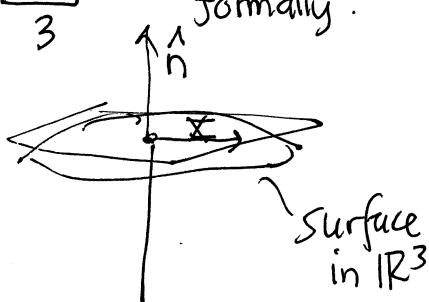
sphere = prototype for understanding ^{intrinsic} surface curvature

extrinsic curvature on sphere

comes from circular cross-sections — 1d notion

combine together to quantify 2d bending

(0,1)



$$\nabla_{\vec{x}} (\hat{n}, \hat{n}) = 1$$

$$(\nabla_{\vec{x}} \hat{n}) \cdot \hat{n} + \hat{n} \cdot (\nabla_{\vec{x}} \hat{n}) = 0$$

$$2 \hat{n} \cdot \underbrace{\nabla_{\vec{x}} \hat{n}}_{\perp \mathbb{R}} = 0$$

$$\nabla_{\vec{x}} \hat{n} = s(\vec{x})$$

\uparrow
linear
in \vec{x}

linear transformation
of surface vectors
into surface vectors

$$\hat{n}_{;j} x^j = s_{;j} x^j$$

another
surface vector Y

$$Y \cdot \nabla_{\vec{x}} \hat{n} = s(\vec{x}) \cdot Y = Y_i s_{;j} x^j = s_{ij} Y^i x^j$$

$$= S^b(Y, x)$$

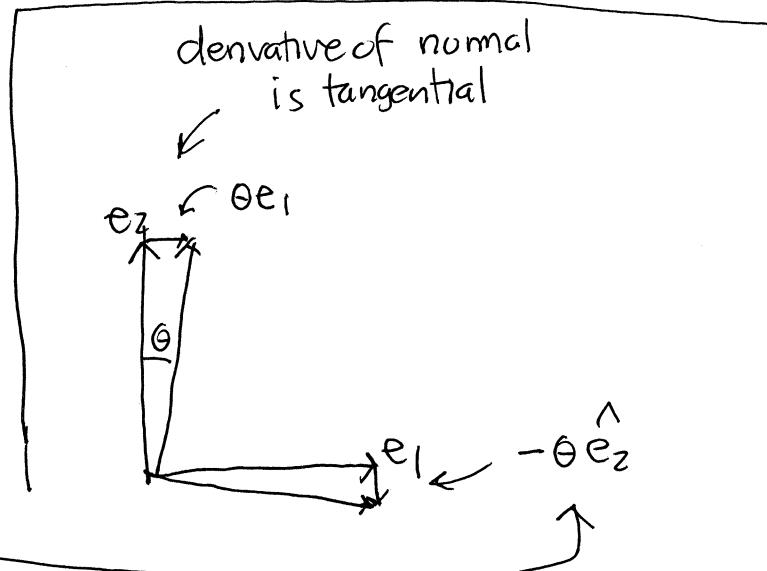
$$\nabla_{\vec{x}} (Y, \hat{n}) = 0$$

$$(\nabla_{\vec{x}} Y) \cdot \hat{n} + Y \cdot \nabla_{\vec{x}} \hat{n} = 0$$

$$S^b(Y, x)$$

$$S^b(Y, x) = -\hat{n} \cdot (\nabla_{\vec{x}} Y)$$

derivative of tangential
is minus normal



symmetric (0,2) tensor

$$S^b(Y, x) - S^b(x, Y)$$

$$= -\hat{n} \cdot \nabla_{\vec{x}} Y + \hat{n} \cdot \nabla_{\vec{x}} Y$$

$$= \hat{n} \cdot (\nabla_{\vec{x}} Y - \nabla_{\vec{x}} Y) \quad \text{symmetric connection}$$

$$= \hat{n} \cdot \underbrace{[x, Y]}_{\text{also surface vector}} = 0$$

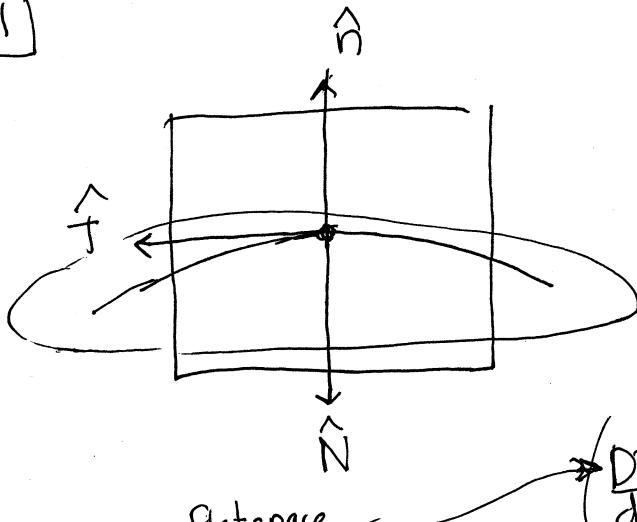
(express in surface cards - Lie bracket also)
surface vector

$$= S_{ij} Y^i x^j - S_{ij} x^i Y^j = (S_{ij} - S_{ji}) Y^i x^j$$

$$\therefore S_{ij} = S_{ji}$$

[10.1]

4



flat space
 $d=2$

$\left[\begin{array}{l} \text{sign tells if } \hat{N}, \hat{n} \\ \text{parallel (-) or} \\ \text{antiparallel (+)}: \\ S_{\hat{T}\hat{T}} = -(\hat{n} \cdot \hat{N}) K \end{array} \right]$

surface curve with $\hat{T}, \hat{N}, \hat{B}$

obtained by cross-section through
surface normal

so curve normal: $\hat{N} = \pm \hat{n}$

$$\left(\frac{d\hat{T}}{ds} = \nabla_{\hat{T}} \hat{T} \equiv k \hat{N} \right) \text{ definition of curve curvature.}$$

$\hat{n} \cdot$

$$\hat{n} \cdot \nabla_{\hat{T}} \hat{T} = k \hat{n} \cdot \hat{N} = \pm K$$

"

$$-S(\hat{T}, \hat{T}) = -S_{\hat{T}\hat{T}}$$

up to sign
component of
shape tensor along
 \hat{T} = curve
curvature

Shape tensor = machine to
produce curvature of curves in surface
with direction \hat{T}

(S_{ij}) = symmetric matrix — has orthonormal basis of eigenvectors
unique if eigenvalues distinct (modulo signs)

$$Y_i [S_{ij} X^j = \lambda_x X^i] \rightarrow S_{ij} Y_i X^j = \lambda_x X^i Y_i$$

$$X_i [S_{ij} Y^j = \lambda_y Y^i] \rightarrow \underline{S_{ij} X^i Y^j = \lambda_y X^i Y^i}$$

$$0 = (\lambda_x - \lambda_y) X^i Y^i \neq 0 \rightarrow = 0$$

distinct eigenvalues

have orthogonal eigenspaces

if all distinct $\rightarrow 1d$ eigenspaces \rightarrow orthogonal \rightarrow orthonormal frame

(true for hypersurface in \mathbb{R}^n)

10.1

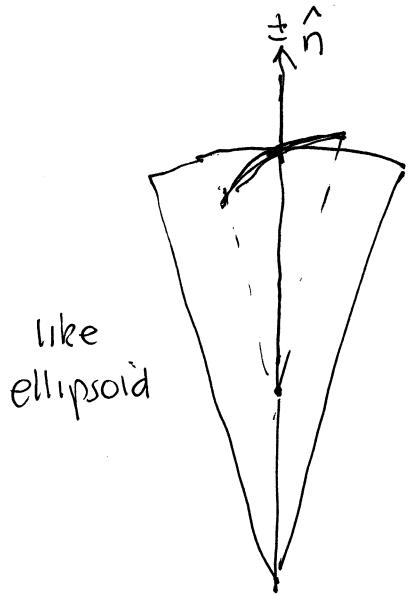
5

surface in \mathbb{R}^3

2x2 matrix

$$\underline{B}^{-1} \underline{S} \underline{B} = \begin{pmatrix} k_1 & 0 \\ 0 & k_2 \end{pmatrix}$$

2 eigenvalues "principal curvatures"

eigenvectors e_1, e_2 principal directions
of curvature
in 2 orthogonal directions

k_1, k_2
same
sign

both curve
on same
side of
tangent
plane

(no intersection with)
tangent plane

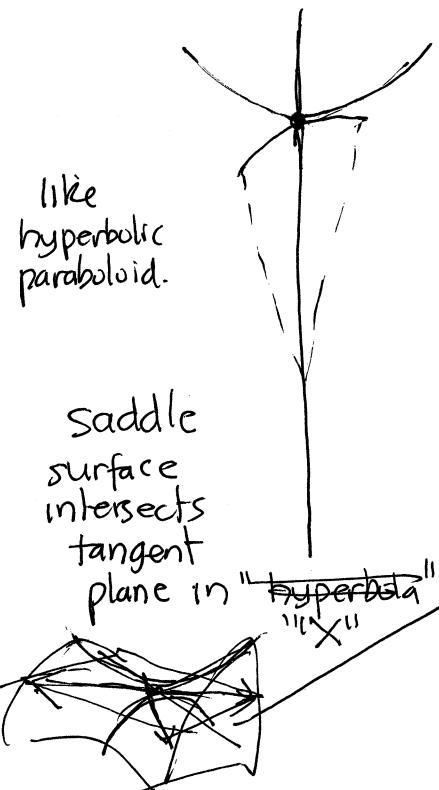
$$\det \underline{S} = \det(\underline{B}^{-1} \underline{S} \underline{B}) = k_1 k_2$$

= Gaussian curvature K ?

$$> 0$$

$$\det(K_{ij})$$

positive
curvature



k_1, k_2
opposite
signs

on opposite
sides of
tangent
plane

negative
curvature

$$< 0$$

$$\nabla_{e_i} \hat{n} = S(e_i) = k_i e_i$$

for
each i :

as move along e_i (unit tangent to curve)

\hat{n} rotates in plane of e_i & \hat{n}

surface normal aligned with
curve normal along

"line's of curvature"

$$k_1 = 0, k_2 \neq 0$$



flat in \perp
direction
curved in
 \perp direction

10.1

6

$$\vec{r} = \vec{r}(u^1, u^2)$$

parametrized surface

$$\left\{ \begin{array}{l} \vec{r}_1 = \frac{\partial \vec{r}}{\partial u^1}(u^1, u^2) \\ \vec{r}_2 = \frac{\partial \vec{r}}{\partial u^2}(u^1, u^2) \end{array} \right\}$$

tangents to coord lines
in surface — span tangent plane.

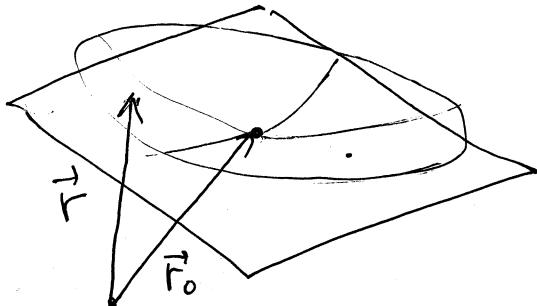
$$\left\{ \begin{array}{l} \vec{r}_{11} = \frac{\partial^2 \vec{r}}{\partial u^1 \partial u^1} \\ \vec{r}_{12} = \vec{r}_{21} = \frac{\partial^2 \vec{r}}{\partial u^1 \partial u^2} \\ \vec{r}_{22} = \frac{\partial^2 \vec{r}}{\partial u^2 \partial u^2} \end{array} \right.$$

2nd degree Taylor approximation

$$\vec{r} - \vec{r}_0 = (\vec{r}_1)_0 \Delta u^1 + (\vec{r}_2)_0 \Delta u^2 \quad \left. \right\} \text{linear approx}$$

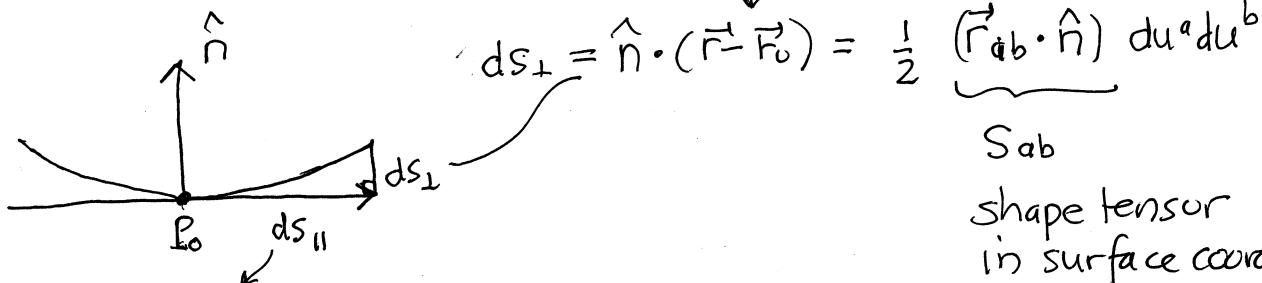
$$\left. \begin{array}{l} + \frac{1}{2} [(\vec{r}_{11})_0 (\Delta u^1)^2 \\ + 2(\vec{r}_{12})_0 \Delta u^1 \Delta u^2 \\ + (\vec{r}_{22})_0 (\Delta u^2)^2] \end{array} \right\} \text{quadratic terms}$$

+ higher order terms.



$$\vec{r}(u_0^1, u_0^2) = \vec{r}_0$$

$$u^i - u_0^i = \Delta u^i$$



$$ds_{11}^2 = (\vec{r}_1 du^1 + \vec{r}_2 du^2) \cdot (\vec{r}_1 du^1 + \vec{r}_2 du^2)$$

$$= g_{ab} du^a du^b \quad a, b = 1, 2$$

intrinsic metric

"first fundamental form"

$$ds^2 = E du^1 du^1 + 2F du^1 du^2 + G du^2 du^2$$

$$\Delta u^i \rightarrow du^i$$

$$ds_{11} = \hat{n} \cdot (\vec{r} - \vec{r}_0) = \underbrace{\frac{1}{2} (\vec{r}_1 b \cdot \hat{n})}_{S_{ab}} du^a du^b$$

S_{ab}

shape tensor
in surface coords
gives quadratic
approximation to
surface away
from tangent
plane

"second fundamental form"

$$K du^1 du^1 + 2L du^1 du^2 + M du^2 du^2$$

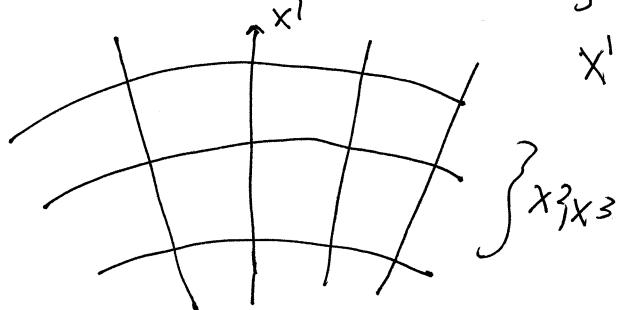
10.4

Geodesic normal coordinates (extrinsic curvature of family of surfaces)

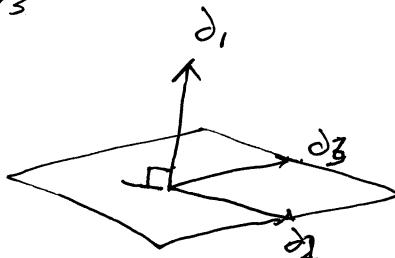
1

$$\begin{aligned}
 ds^2 &= dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) && \text{spherical } (\mathbb{R}^3) \text{ spheres} \\
 &= -dt^2 + t^2(d\theta^2 + \sin^2\theta d\phi^2) && \text{3-d cosmology} \\
 &= -dr^2 + r^2(dx^2 + \sinh^2x d\phi^2) && \text{2-sheets } \rightarrow \text{pseudospheres } (\mathbb{M}^4) \\
 &= dl^2 + l^2(-dx^2 + \cosh^2x d\phi^2) && \text{1-sheet} \\
 &= \epsilon(dx^1)^2 + g_{ab}dx^adx^b && a, b = \underbrace{2, 3}_{(4)} \downarrow \\
 &&& \text{spacetime in 4-d or } \mathbb{R}^4
 \end{aligned}$$

arc length
 parametrized
 geodesics orthogonal
 surfaces
 "geodesically parallel"



$x^1 = x^1_0$ surfaces are equidistant
(just Δx^1)



orthogonal
decomposition
of tangent
space
 $3 = 1 + 2$

conditions

$$g_{11} = \epsilon$$

$$g_{1a} = 0$$

g_{ab} whatever \rightarrow diagonal
(in general) above
examples

this splitting of tangent space
results in splitting of all
tensors according to segregating
indices as 1 or 2,3

examine extrinsic curvature of each such surface
in coord system

(-parameter family of surfaces)

coord frame:

$$e_1 = \partial_1 = \hat{n} \quad (\text{unit normal to surfaces } x^1 = \text{const})$$

$$e_a = \partial_a$$

$$\hat{n} \cdot \hat{n} = 1$$

$$G \in \hat{n} \cdot \hat{n} = 1$$

metric & inverse metric matrices:

$$\underline{g} = \begin{bmatrix} e & 0 & 0 \\ 0 & g_{11} & g_{12} \\ 0 & g_{21} & g_{22} \end{bmatrix}$$

2x2 block \leftrightarrow inverses \leftrightarrow 2x2 block

$$\underline{g}^{-1} = \begin{bmatrix} e & 0 & 0 \\ 0 & g^{11} & g^{12} \\ 0 & g^{21} & g^{22} \end{bmatrix}$$

$$g^{11} = G$$

$$g^{1a} = 0$$

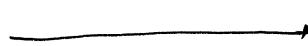
$$g^{ab} g_{bc} = \delta^a_c$$

shape tensor

$$\nabla_X \hat{n} = S(X)$$

$$\nabla_{e_a} \hat{n} = S_a^b e_b$$

$$\nabla_{e_a} e_1 = \Gamma_{a1}^b e_b \quad : \quad S_a^b = \Gamma_{a1}^b = \Gamma_{1a}^b$$



$$\hat{n} \cdot \nabla_X Y = -S(Y, X)$$

$$\hat{n} \cdot \nabla_{e_a} e_b = -S_{ab}$$

$$\begin{aligned} \hat{n} \cdot (\nabla_{e_a} e_b = -\epsilon S_{ab} \hat{n}) \\ + \Gamma_{ab}^c e_c \\ = \Gamma_{ab}^1 e_1 + \Gamma_{ab}^2 e_2 \end{aligned}$$

$$\epsilon S_{ab} = -\Gamma_{ab}^1$$

connection components split

$$\Gamma_{\alpha\beta}^\gamma = \frac{1}{2} (g_{\alpha,\beta} - g_{\alpha\beta,\gamma} + g_{\beta\gamma,\alpha})$$

cannot have more than
1 index equal to 1 ("1")
same for:

$$\alpha, \beta, \gamma = 1, 2, 3 \text{ but}$$

$$\begin{cases} g_{11} = G, g_{1a} = 0 \\ g_{11,1} = 0 \\ g_{11,a} = 0 \end{cases}$$

$$\begin{cases} g_{1a,1} = 0 \\ g_{1a,b} = 0 \end{cases}$$

$$\Gamma_{\alpha\beta}^\gamma = \frac{1}{2} g^{\gamma\delta} (g_{\delta\alpha,\beta} - g_{\alpha\beta,\delta} + g_{\beta\delta,\alpha})$$

$$\text{so } \Gamma_{ab}^c = -\frac{1}{2} g^{cd} g_{ab,1} = -\frac{1}{2} \epsilon g_{ab,1} = -\epsilon S_{ab} \rightarrow [S_{ab} = +\frac{1}{2} g_{ab,1}]$$

$$\Gamma_{ab}^c = \frac{1}{2} g^{cd} (g_{d,a,b} - g_{ab,d} + g_{bd,a})$$

$$\Gamma_{ab}^c = \frac{1}{2} g^{cd} (g_{d,a,b} - g_{ab,d} + g_{bd,a}) \leftarrow \text{connection on surface}$$

spherical coords

$$(g_{ab}) = r^2 \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix} \quad (S_{ab}) = \left(\frac{1}{2} g_{ab}, t \right) = r \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix}$$

$$= \frac{1}{r} r^2 \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix} = \frac{1}{r} (g_{ab})$$

$$S^0_b = \frac{1}{r} \delta^0_b$$

3-cosmology

$$(g_{ab}) = t^2 \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix} \rightarrow S_{ab} = \frac{1}{2} g_{ab}, t \rightarrow S^0_b = \frac{1}{t} \delta^0_b$$

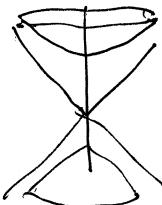
↑
time derivative
of surface metric

$t = 0$

infinite
extrinsic curvature.

metric \sim position in space of dynamical variables	extrinsic curvature shape tensor like <u>velocity</u>	$S^0_b = \frac{1}{t} \delta^0_b$ "dynamics of GR" here "expansion of space" radius of spheres increasing in time.
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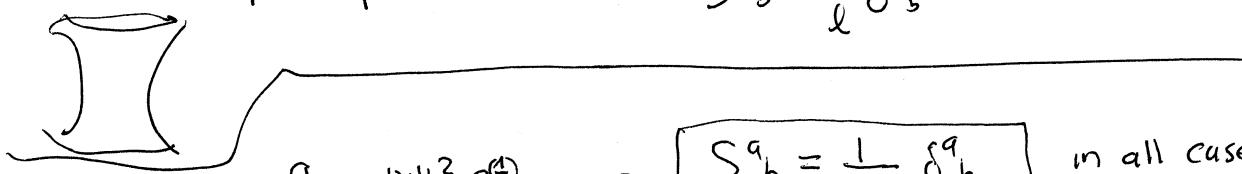
1 sheet pseudosphere $\epsilon = -1$, ~~shape~~



$$S^0_{ab} = \frac{1}{t} g_{ab} \rightarrow S^0_b = \frac{1}{t} \delta^0_b$$

2 sheet pseudosphere $\epsilon = 1$

$$S^0_b = \frac{1}{t} \delta^0_b$$



$$g_{ab} = |x'|^2 g_{ab}^{(4)} \rightarrow S^0_b = \frac{1}{|x'|} \delta^0_b \quad \text{in all cases}$$

↑
unit sphere-pseudosphere
scales like
square of radius/pseudoradius

$$\frac{10.4}{4}$$

split curvature

$$R^\alpha_{\beta\gamma\delta} = \partial_\delta \Gamma^\alpha_{\beta\gamma} - \delta_\delta \Gamma^\alpha_{\gamma\beta} + \Gamma^\kappa_{\gamma\delta} \Gamma^\epsilon_{\kappa\beta} - \Gamma^\alpha_{\beta\epsilon} \Gamma^\epsilon_{\epsilon\gamma}$$

split by how many indices are 1:

$$\begin{aligned}
 - \boxed{R^a{}_{bcd}} &= \partial_c \Gamma^a{}_{db} - \partial_d \Gamma^a{}_{cb} + \underbrace{\Gamma^a{}_{ce} \Gamma^e{}_{db}}_{\Gamma^a{}_{ce} \Gamma^e{}_{db}} - \underbrace{\Gamma^a{}_{de} \Gamma^e{}_{cb}}_{\Gamma^a{}_{de} \Gamma^e{}_{cb}} \\
 &= \begin{cases} \Gamma^a{}_{ce} \Gamma^e{}_{db} \\ + \Gamma^a{}_{c1} \Gamma^1{}_{db} \end{cases} = \begin{cases} \Gamma^a{}_{de} \Gamma^e{}_{cb} \\ + \Gamma^a{}_{d1} \Gamma^1{}_{cb} \end{cases} \\
 &= {}^{(2)} R^a{}_{bcd} + \Gamma^a{}_{c1} \Gamma^1{}_{db} - \Gamma^a{}_{d1} \Gamma^1{}_{cb} \\
 &\quad K \ K - K \ K \quad \cdot \text{you finish as exercise}
 \end{aligned}$$

$$\boxed{R^1_{bcd}} = \partial_c \Gamma^1_{db} - \partial_d \Gamma^1_{cb} + \Gamma^1_{c\epsilon} \Gamma^{\epsilon}_{db} - \Gamma^1_{d\epsilon} \Gamma^{\epsilon}_{cb}$$

\downarrow \downarrow \downarrow \downarrow \downarrow
 $\partial K - \partial K$ $K \Gamma$ $K \Gamma$ $K \Gamma$ ← at most 1 index 1 on Γ^1

\curvearrowleft $\nabla K - \nabla K$

you finish as exercise

1. In each pair (order doesn't matter—sign only)

$$\boxed{R^{\downarrow} \atop a \downarrow b} = \dots k_{,1} + K K$$

Einstein tensor

$$G^\alpha{}_\beta = R^\alpha{}_\beta - \frac{1}{2} R \delta^\alpha{}_\beta$$

$$\leftarrow R^\alpha_\beta = R^{\gamma\delta}_{\gamma\beta}$$

↳ split $G^1_b = \dots$

3 important for understanding G.R.

after splitting first: $R^1_1 = R^{x_1}_{x_1} = R^{c_1}_{c_1}$

$$R^I_b = R^{\delta I}_{\delta b} = R^c{}_I c_b$$

$$R^a{}_b = R^{ca}{}_{ab} = R^{ca}{}_{1b} + \underbrace{R^{ca}{}_{cb}}_{(2)} R^{cb}{}_{db}$$

[O.4]

5

total curvature constrains intrinsic/extrinsic curvature

$$\text{result: } \underbrace{R^a_{\ bcd}}_{\substack{\text{surface} \\ \text{components} \\ \text{only of} \\ \text{space Riemann}}} = \underbrace{^{(2)}R^a_{\ bcd}}_{\substack{\text{total surface} \\ \text{Riemann}}} - \epsilon(K^a_c K_b d - K^a_d K_b c) \quad [b, c = 1, 2]$$

surface
components
only of
space Riemann

total surface

Riemann

$$\frac{1}{2} \underbrace{^{(2)}R^a_{\ bcd} dx^c dx^d}_{\substack{\text{2x2 matrices}}} - \epsilon(K^a_c dx^c) \wedge (K_b d dx^d)$$

$$(\frac{1}{2} R^a_{\ bcd} dx^c dx^d) = {}^{(2)}\Omega - \epsilon K \wedge K^b$$

2x2 matrices

lowered

$$(K^a(\Sigma))^a = K^a_b X^b$$

$$= K^a_b dx^b(\Sigma)$$

(1) -tensor = linear transformation tensor
naturally viewed as
vector-valued differential form

flat
space (spacetime)

$$0 = {}^{(2)}R^a_{\ bcd} - \epsilon(K^a_c K_b d - K^a_d K_b c)$$

$$\text{raise index } \underbrace{{}^{(2)}R^a_{\ bcd}}_{R^{ab}_{\ \ \ cd}} = \epsilon(K^a_c K_b d - K^a_d K_b c)$$

$$R^{ab}_{\ \ \ cd} = \epsilon(S^a_c S^b_d - S^a_d S^b_c) \quad \text{shape tensor}$$

$a, b = 2, 3$: only 1 independent component

$${}^{(2)}R^{23}_{\ \ \ 23} = \epsilon(K^2_2 K^3_3 - K^2_3 K^3_2)$$

$$= \epsilon \begin{vmatrix} K^2_2 & K^3_3 \\ K^3_2 & K^2_3 \end{vmatrix} = \epsilon \det \underline{K} = \epsilon \det \underline{S} = \epsilon \det (\underline{B}^T \underline{S}_d \underline{B})$$

$\det(K^a_b)$ invariant under basis change

$$\det \begin{pmatrix} k_1 & 0 \\ 0 & k_2 \end{pmatrix} = \det S_d = k_1 k_2$$

$${}^{(2)}R^{23}_{\ \ \ 23} = \epsilon k_1 k_2$$

Gaussian curvature = product principal curvatures

(10.4)

6

$$ds^2 = \epsilon (dx^1)^2 + g_{ab} dx^a dx^b \leftrightarrow \text{flat space (-time)}$$

$${}^{(2)}R^{ab}_{cd} = \epsilon 2 K^a_{[c} K^b_{d]} = \frac{\epsilon}{|x'|^2} 2 \delta^a_c \delta^b_d = \frac{\epsilon}{|x'|^2} \delta^{ab}_{cd}$$

$$K^a_b = \frac{1}{|x'|} \uparrow$$

$\begin{matrix} 1\text{-ind} \\ \text{component} \end{matrix}$
 $=$ Gaussian
Curvature
up to sign

constant on $x^1 = x^i$.
surfaces

"constant curvature" spheres / pseudospheres.

generalized
Kronecker
delta
(quadruple
scalar product
tensor)

has all required
symmetries

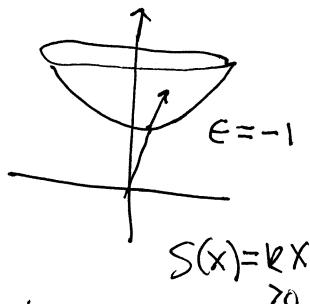
~~Scalar curvature~~

$$\text{Scalar curvature } {}^{(2)}R^{ab}{}_{ab} = {}^{(2)}R \quad \text{twice Gaussian curvature}$$

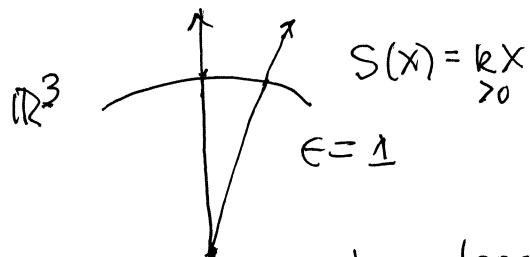
$$\frac{2\epsilon}{|x'|^2}$$

$\epsilon = 1 : {}^{(2)}R > 0$ \leftarrow intrinsic curvature changes
sign with ϵ .

$\epsilon = -1 : {}^{(2)}R < 0$

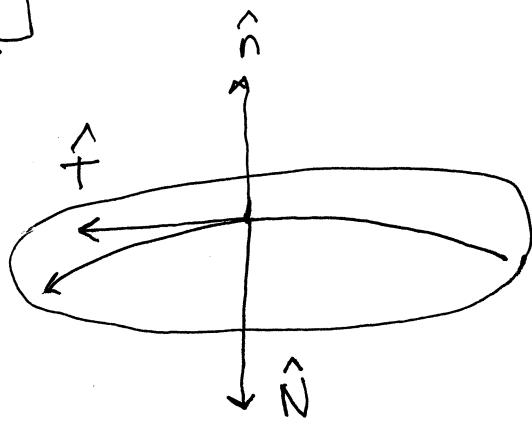


negative intrinsic curvature



positive intrinsic
curvature

10.1
7



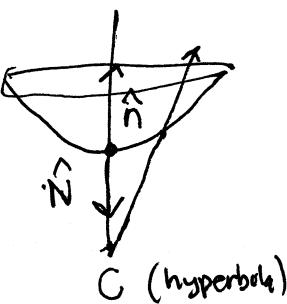
$$\frac{d\hat{T}}{ds} = \nabla_{\hat{T}} \hat{T} = \kappa \hat{N} \quad \begin{matrix} \text{surface} \\ \text{geo} \end{matrix}$$

$$\hat{n} \cdot \nabla_{\hat{T}} \hat{T} = \kappa \hat{n} \cdot \hat{N}$$

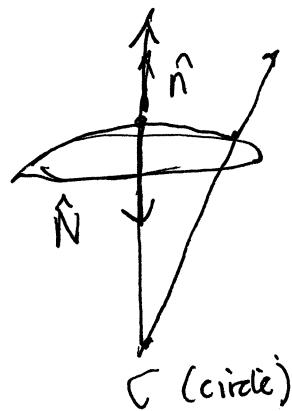
"

$$-S(\hat{T}, \hat{T})$$

$$S_{\hat{n}}(\hat{T}, \hat{T}) = -\kappa \hat{n} \cdot \hat{N}$$



$$\mathbb{M}^3 \quad e = -1$$

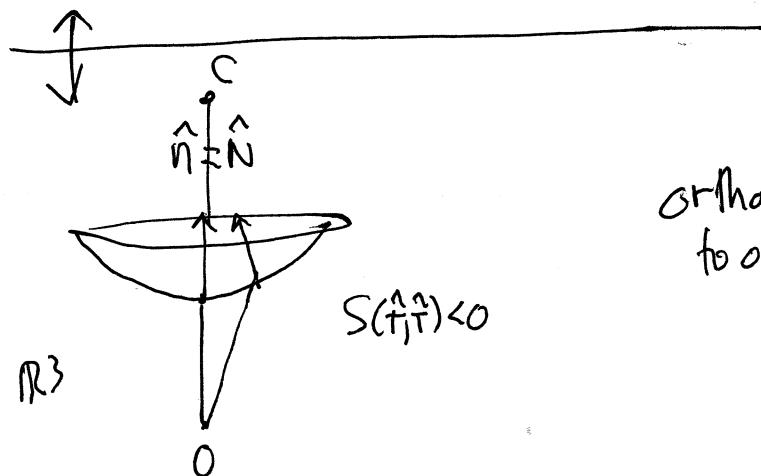


$$\mathbb{R}^3 \quad e = 1$$

$$S_{\hat{n}}(\hat{T}, \hat{T}) > 0$$

$$\hat{n} \cdot \hat{N} < 0$$

opposite sides:
 $\hat{n} = -\hat{N}$



orthogonality leads
to opposite behavior
of normals